AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of New York on the following □ Trademarks or Patents. (□ the patent action involves 35 U.S.C. § 292.):				
DOCKET NO. DATE FILED U.S. DISTRICT COURT 1:13-cv-01339-JMF 2/28/2013 Southern District of New York				
PLAINTIFF DEFENDANT				
Chicago board options e	xchange, incorporated	International Securities Exchange,	LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRA	HOLDER OF PATENT OR TRADEMARK	
1 7,356,498	4/8/2008	Ross G. Kaminsky, Richard A. Angell, and Gordon D. Evora.		
2 7,980,457	7/19/2011	Ross G. Kaminsky, Richard A. Angell, and Gordon D. Evora.		
3 8,266,044	9/11/2012	Ross G. Kaminsky, Richard A. Angell, and	Ross G. Kaminsky, Richard A. Angell, and Gordon D. Evora.	
4				
5				
In the above—entitled case, the following patent(s)/ trademark(s) have been included:				
DATE INCLUDED	INCLUDED BY Amendment Answer Cross Bill Other Pleading			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
1				
2				
3				
4				
5				
In the above—entitled case, the following decision has been rendered or judgement issued:				
DECISION/JUDGEMENT Order administratively closing the case, filed on 9/17/2015.				
CLERK	I (E	BY) DEPUTY CLERK	DATE	
		K. Kohberger	9/22/2015	

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director

Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy